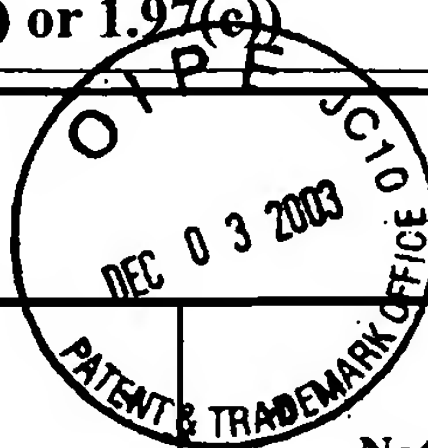


**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(e))

Docket No.  
0VKH-104558

In Re Application Of: **Debasis Bagchi et al.**



Serial No.  
10/615,887

Filing Date  
07/08/2003

Examiner  
Not yet determined

Group Art Unit  
1614

Title: **COMPOSITIONS INCORPORATING HIGH-CAFFEINE GREEN TEA EXTRACT AND RELATED METHOD FOR PROMOTING HEALTHY BODY WEIGHT**

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
0VKH-104558

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Examiner

Not yet determined

Group Art Unit

1614

**COMPOSITIONS INCORPORATING HIGH-CAFFEINE GREEN TEA EXTRACT AND RELATED  
METHOD FOR PROMOTING HEALTHY BODY WEIGHT****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1853 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

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Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**I certify that this document and fee is being deposited  
December 1, 2003 with the U.S. Postal Service  
as first class mail under 37 C.F.R. 1.8 and is  
addressed to the Assistant Commissioner for Patents,  
Washington, D.C. 20231.

Signature of Person Mailing Correspondence

James R. Brueggemann

Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by  
deposit account.**

Signature

James R. Brueggemann, Esquire

Registration No. 28,286

Sheppard, Mullin, Richter &amp; Hampton LLP

333 South Hope Street, 48th Floor

Los Angeles, California 90071-1448

(213) 620-1780

Dated: December 1, 2003

cc:

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. <b>0VKH-104558</b>		SERIAL NO. <b>10/615,887</b>	
				FILING <b>07/08/2003</b>		GROUP <b>1614</b>	

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U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,428,818	8/6/2002	Morre et al.	424	729	
		6,451,328	9/17/2002	Ionita-Manzatu et al.	424	401	
		6,531,162	3/11/2003	Llewellyn	424	729	
		6,576,660	6/10/2003	Liao et al.	514	456	

FOREIGN PATENT DOCUMENTS								
*	EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<div style="display: flex; align-items: center; justify-content: center;"> <div style="border: 2px solid black; border-radius: 50%; padding: 10px; margin: 10px;"> <div style="text-align: center;"> <b>INFORMATION DISCLOSURE CITATION</b>  <small>(Use several sheets if necessary)</small> </div> <div style="text-align: center; margin-top: 10px;"> <b>DEC 03 2003</b>  <small>PATENT &amp; TRADEMARK OFFICE</small> </div> </div> </div>				ATTY DOCKET NO. <b>0VKH-104558</b>		SERIAL NO. <b>10/615,887</b>	
				FILING <b>07/08/2003</b>		GROUP <b>1614</b>	
<b>U.S. PATENT DOCUMENTS</b>							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4,613,672	9/23/1986	Hara	549	399	
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<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES      NO
<b>OTHER DOCUMENTS    (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
		Simultaneous Analysis of Individual Catechins, Caffeine, and Ascorbic Acid in Commercial Canned Green and Black Teas by Micellar Electrokinetic Chromatography; Analytical Sciences, April 1998, Vol. 14; The Japan Society for Analytical Chemistry					
		Topical applications of caffeine or (-) epigallocatechin gallate (EGCG) inhibit carcinogenesis and selectivity increase apoptosis in UVB-induced skin tumors in mice, PNAS, September 17, 2002, vol. 99, no. 19					
EXAMINER				DATE CONSIDERED			
<small>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>							